

Summary table of jurisdictional responses

Belgium Second update to Level 1 assessment report – June 2015

	Principles/ Responsibilities	FMI Type	Rating	Status description / Next steps	Links to the measures
Belgium	Principles	CCPs	(4, see EU)		
		PSs	(4, see EU/Euro area)		
		CSDs and SSSs	4	National Bank of Belgium (NBB) published final new circular on 20 July 2012. The measure came into force on 20 July 2012.	NBB – Guidelines for the supervision and oversight of CSD/SSS www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf
		TRs	(4, see EU)		
	Responsibilities	CCPs	NA	There is no existing CCP.	
		PSs	(4, see EU/Euro area)		
		CSDs and SSSs	4	NBB and Financial Services and Markets Authority (FSMA) have a legal capacity to implement the Responsibilities.	NBB – Organic Law of 22 February 1998 http://www.nbb.be/doc/ts/enterprise/juridisch/E/organic_act.pdf FSMA – Law of 2 August 2002 http://www.fsma.be/~media/Files/fsmafiles/wetgeving/wet_loi/en/law_02-08-2002.ashx
		TRs	(NA, see EU)		
	Comments:				

Belgium

First update to Level 1 assessment report – May 2014

	Principles/ Responsibilities	FMI Type	Rating	Status description / Next steps	Links to the measures
Belgium	Principles	CCPs	(4, see EU)		
		PSs	(4, see EU/Euro area)		
		CSDs and SSSs	4	National Bank of Belgium (NBB) published final new circular on 20 July 2012. The measure came into force on 20 July 2012.	NBB – Guidelines for the supervision and oversight of CSD/SSS www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf
		TRs	(4, see EU)		
	Responsibilities	CCPs	NA	There is no existing CCP.	
		PSs	(4, see EU/Euro area)		
		CSDs and SSSs	4	NBB and Financial Services and Markets Authority (FSMA) have a legal capacity to implement the Responsibilities.	NBB – Organic Law of 22 February 1998 http://www.nbb.be/doc/ts/enterprise/juridisch/E/organic_act.pdf FSMA – Law of 2 August 2002 http://www.fsma.be/~media/Files/fsmafiles/wetgeving/wet_loi/en/law_02-08-2002.ashx
		TRs	(NA, see EU)		
	Comments:				

Belgium

Level 1 assessment report – August 2013

	Principles/ Responsibilities	FMI Type	Rating	Status description / Next steps	Links to the measures
Belgium	Principles	CCPs	(4, see EU)		
		PSs	(1, see EU/Euro area)		
		CSDs and SSSs	4	National Bank of Belgium (NBB) published final new circular on 20 July 2012. The measure came into force on 20 July 2012.	NBB - Guidelines for the supervision and oversight of CSD/SSS www.nbb.be/doc/cp/fr/vi/settle/circ/pdf/nbb_2012_06-fr.pdf
		TRs	(4, see EU)		
	Responsibilities	CCPs	NA	There is no existing CCP.	
		PSs	(4, see EU/Euro area)		
		CSDs and SSSs	4	NBB and Financial Services and Markets Authority (FSMA) have a legal capacity to implement the Responsibilities.	NBB - Organic Law of 22 February 1998 http://www.nbb.be/doc/ts/enterprise/juridisch/E/organic_act.pdf FSMA - Law of 2 August 2002 http://www.fsma.be/~media/Files/fsmafiles/wetgeving/wet_loi/en/law_02-08-2002.ashx
		TRs	(NA, see EU)		
Comments:					